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952-912-0574
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OFFICE OF PETITIONS

DAC (I)
P-1 (S)

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after Initial Filing)</i>		Application Number	10/039,518
		Filing Date	November 8, 2001
		First Named Inventor	Kyung Joon Han
		Art Unit	2818
		Examiner Name	Hoai V. Ho
Total Number of Pages in This Submission	4	Attorney Docket Number	01770.0007-US-2U

ENCLOSURES (Check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	Request for Acceptance of Deficiency Payment Under 37 CFR 1.28(c) (2 pages)
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David H. Carroll
Signature	
Date	April 6, 2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Michele Read	
Signature		Date April 6, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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952-912-0574

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PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	550
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Complete If Known	
Application Number	10/039,518
Filing Date	November 8, 2001
First Named Inventor	Kyung Joon Han
Examiner Name	Hoai V. Ho
Art Unit	2818
Attorney Docket No.	01770.0007-US-2U

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

50-1038

Altera Law Group, LLC

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385			Utility filing fee	
1002 340	2002 170			Design filing fee	
1003 530	2003 265			Plant filing fee	
1004 770	2004 385			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	
SUBTOTAL (1) (\$)		0			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20*	=	
			- 3**	=	

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9			Claims in excess of 20	
1201 86	2201 43			Independent claims in excess of 3	
1203 290	2203 145			Multiple dependent claim, if not paid	
1204 88	2204 43			" Reissue independent claims over original patent	
1205 18	2205 9			" Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)		0			

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for ex parte reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1806 1,840*	1806 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 420	2252 210			Extension for reply within second month	
1253 950	2253 475			Extension for reply within third month	
1254 1,480	2254 740			Extension for reply within fourth month	
1255 2,010	2255 1,005			Extension for reply within fifth month	
1401 330	2401 165			Notice of Appeal	
1402 330	2402 185			Filing a brief in support of an appeal	
1403 290	2403 145			Request for oral hearing	
1451 1,510	1451 1,510			Petition to Institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,330	2453 665			Petition to revive - unintentional	
1501 1,330	2501 665			Utility issue fee (or reissue)	
1502 480	2502 240			Design issue fee	
1503 640	2503 320			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180			Submission of Information Disclosure Stmt	
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 770	2809 385			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385			For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	
Other fee (specify)		Deficiency			
		550			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

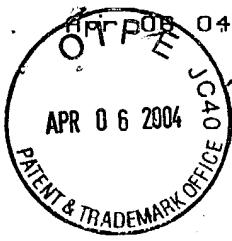
550

(Complete if applicable)					
Name (Print/Type)	David H. Carroll	Registration No. (Attorney/Agent)	29,903	Telephone	(952) 253-4135
Signature				Date	April 6, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Han, et al. Examiner: Hoai V. Ho
Serial No.: 10/039518 Group Art Unit: 2818
Filed: November 8, 2001 Docket No.: 01770.0007-US-2U
(Previously 11188.00)
Confirmation No.: 8814
Title: METHOD AND APPARATUS FOR MULTIPLE BYTE OR PAGE MODE PROGRAMMING AND READING AND FOR ERASING OF A FLASH MEMORY ARRAY

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Fax Number (703) 746-4000 on April 6, 2004.

Michele Read
Name of Person Signing This Certificate

Michele Read
Signature

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR ACCEPTANCE OF DEFICIENCY PAYMENT UNDER 37 CFR 1.28(c)

Sir:

Pursuant to 37 CFR 1.28(c), the Assignee believe that although its status as a small entity was established in good faith and fees as a small entity were paid in good faith, it has been discovered that such status as a small entity was established in error. Accordingly, Assignee hereby informs the Office of the amount of the deficiency payment for this matter according to the following itemization pursuant to paragraph (c)(1), and forwards the deficiency payment to the Office pursuant to paragraph (c)(2).

04/07/2004 AWONDAF1 00000174 501038 10039518

01 FC:1001	256.00 DA	514.00 OP
02 FC:1201	258.00 DA	
03 FC:1202	36.00 DA	

Itemization

Date Fee Paid	Fee Description	Fee Paid	Fee Code	Current Fee Amount	Current Fee Code	Deficiency
11/08/2001	Utility Filing Fee	\$370 ✓	201	\$770	1001	\$400
11/08/2001	Claims in Excess of 20	\$18 ✓	203	\$36	1202	\$18
11/08/2001	Excess Independent Claims	\$126 ✓	202	\$258	1201	\$132

Deficiencies

The total deficiencies for the subject application are \$550.00.

Notification of Loss of Entitlement to Small Entity Status

A Notification of Loss of Entitlement to Small Entity Status was filed on February 18, 2004.

Conclusion

The examiner is respectfully requested to telephone the undersigned if there are any questions about this Request.

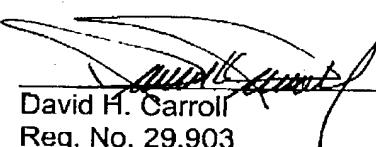
Respectfully submitted,

Altera Law Group, LLC
Customer No. 22865

Date: April 6, 2004

By:

David H. Carroll
Reg. No. 29,903
DHC/mar



Transaction List

Search by:

 Accounting Date: []

Operator ID: []

(MMDDYY)

Accounting Date

Starts:

Ends:

 Name/Number: [10039518] Attny Docket No: [] Deposit Account No.: []

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
02/24/2004	MBELETE2	211	SALE	1504	A	300.00	10039518	
02/24/2004	MBELETE2	210	SALE	1501	A	1,330.00	10039518	
02/24/2004	MBELETE2	203	SALE	1504	V	300.00	10039518	
02/24/2004	MBELETE2	202	SALE	1501	V	1,330.00	10039518	
05/23/2002	JSHABAZZ	69	SALE	581	A	40.00	10039518	
01/14/2002	WABDELR1	75	SALE	203	A	18.00	10039518	041415
01/14/2002	WABDELR1	74	SALE	202	A	126.00	10039518	
01/14/2002	WABDELR1	73	SALE	201	A	370.00	10039518	



Adjustment Detail

Authorization Detail



Adjustment date: 04/07/2004 AWONDAF1
01/14/2002 WABDELR1 00000039 10039518
01 FC:201 -370.00 OP
02 FC:202 -126.00 OP
03 FC:203 -18.00 OP